



THE UNIVERSITY OF MICHIGAN
DEPARTMENT OF HEALTH MANAGEMENT AND POLICY
SCHOOL OF PUBLIC HEALTH
109 OBSERVATORY
ANN ARBOR, MICHIGAN 48109-2029
FAX 734 764-4338

OCT 21 2005

September 30, 2005

Olga Boikess
National Institute of Mental Health
6001 Executive Boulevard, Room 8102
MSC 9653
Bethesda, Maryland 20892-9653

Dear Dr. Boikess:

The following is our application for an NIMH Certificate of Confidentiality for our research project, "The Michigan Healthy Minds Study." Please contact me at (734) 615-7764, or at daneis@umich.edu if you have any questions or concerns.

Thank you kindly,

A handwritten signature in blue ink that reads "Daniel Eisenberg".

Daniel Eisenberg, Ph.D.
Assistant Professor

Enclosures

1. Name and address of the applicant research institution

Department of Health Management and Policy, School of Public Health, University of Michigan. 109 S. Observatory, SPH II. Ann Arbor, MI 48109-2029.

2. Sites where the research will be conducted and a brief description of the facilities available for the conduct of the research. Please indicate if this is a multi-site project.

This is a single-site project. The data will be collected from students at the University of Michigan, Ann Arbor via web survey. The data will be collected by the Survey Sciences Group, LLC (SSG). SSG maintains a secure data environment by using dedicated, password-protected servers. SSG also maintains a company policy that includes respondent confidentiality. Violation of respondent confidentiality may result in termination under the policy. At the conclusion of data collection, SSG will deliver a de-identified dataset to the PI.

3. Title of the research project.

The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students.

4. Source and number of the supporting grant, if applicable.

(b) (4)
(b) (4)
Decision

pending.

5. IRB Approval.

Documentation of approval from the University of Michigan IRB-Health, is included in the text of the email. The University of Michigan Federalwide Assurance number is: FWA00004969, Expiration 6/12/06.

6. Name, title, mailing, and email address of the applicant and key personnel.

Daniel Eisenberg, PhD is the **Principal Investigator** for this project.

Daniel Eisenberg, PhD
Department of Health Management and Policy
School of Public Health, University of Michigan.
109 S. Observatory, SPH II.
Ann Arbor, MI 48109-2029.
daneis@umich.edu
Phone: 734.615.7764
Fax: 734.764.4338

Dr. Eisenberg is an Assistant Professor in the Department of Health Management and Policy in the School of Public Health. He received his PhD in Economics from Stanford University in 2002. Since arriving at Michigan in 2004, he has participated in and developed a number of research projects related to mental health. For example, he recently submitted for publication two manuscripts regarding Attention Deficit Hyperactivity Disorder in American elementary schools. He is also a co-investigator on a research team based out of the Ann Arbor Veterans Administration which is studying relationships between depression treatments and suicide risks (PI: Marcia Valenstein, MD).

Our team includes two **Senior Consultants**. **Harold Neighbors, PhD** is a Professor in the School of Public Health and the Director of the Center for Research on Ethnicity, Culture and Health (CRECH) and the Program for Research on Black Americans (PRBA) in the Institute for Social Research. **Jamie Abelson, MSW** is a clinical social worker and Senior Research Associate at the Institute for Social Research. Both Senior Consultants have many years of experience conducting surveys for mental health research. Their role is to provide guidance on the most important issues at each step of the project. For example, they helped choose the instruments to assess depression and anxiety symptoms in the survey.

Finally, our research team includes three doctoral students in the Department of Health Management and Policy as **Co-Investigators**. All three students are pursuing the sociology cognate within the Health Services, Organization, & Policy program. **Ezra Golberstein** is a third year doctoral student who gained two years experience in mental health services and policy research, including survey projects, working for Human Services Research Institute in Cambridge, MA before coming to UM. **Sarah Gollust**, also a third year student, analyzed survey data on the quality of life of people with disabilities while completing a two-year fellowship in clinical research ethics at the NIH before coming to UM. **Jennifer Hefner, MPH** is a first year doctoral student who recently graduated from the department's master's program and has experience in survey research at the Ann Arbor VA's Health Services Research Department.

7. Beginning and end date of the project.

10/1/05 – 10/1/08

8. Project aims and research methods.

Mental health needs have increased steadily in recent years at UM and at college campuses nationwide. Our aims are to understand the extent to which university students with mental health problems are receiving needed services and to understand key determinants of whether students receive needed services. In order to accomplish these aims, we will conduct a web-based survey sent to 6,000 UM students in late October and early November 2005. We expect about 3,800 respondents. In early December 2005 we will then conduct a follow-up of a randomly selected group of non-respondents. The follow-up will consist of a much shorter phone interview (15 questions) and will allow us to determine whether our initial sample was representative of the full student population. We expect about 200 respondents (out of an initial random sample of 400) from this non-respondent follow-up, for a total of about 4,000 subjects in the study.

In the survey we will gather data on a variety of domains important to mental health:

mental health status, access and barriers to services, utilization of services, social context, academic environment, academic performance, and healthiness of lifestyles (e.g. substance use and gambling). This set of domains represents key factors affecting students' mental health.

9. Protection of subjects' identities.

Identifying information will be linked to the study records by unique identifiers, but stored separately. Respondent confidentiality will be protected through the data management and security procedures including keeping the linking file in a locked office, limiting access to this file to the PI, protecting electronic files with encryption and password protection, limiting who has access to the network where the file will be kept, and use of a dedicated server during the data collection efforts. Additionally, the survey firm will restrict access to survey respondents to only those whose job requires the knowledge. SSG also maintains a company policy that includes respondent confidentiality. Violation of respondent confidentiality may result in termination under the policy. Use of study generated IDs in all respondent communications that have no significance outside of this study will also protect respondent confidentiality. And ultimately, in the final analytic data file, no identifiable information will be included. When responses are reported, they will be so in aggregate and will not uniquely identify a respondent.

10. Reason for requesting a Certificate of Confidentiality.

We will be collecting sensitive information related to mental health status, mental health services use, and substance use. We will also have identifying information for our entire sample, although this information will be kept in a separate file that is matched by unique identifiers. We are requesting a certificate of confidentiality so that we may give our subjects every possible assurance that their survey data will not be able to be identified.

11. Informed consent.

The informed consent form is attached.

12. Drugs to be administered in extramural research.

No drugs of any kind will be delivered in this research.

13. This research does not involve the administration of any types of drugs.

14. This research project is not testing for reportable communicable diseases.

Assurances

The following assurances are required and the following information should be inserted verbatim into the Certificate application letter. Both the PI and the Institutional Official must sign this letter:

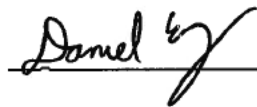
This institution agrees to use the Certificate of Confidentiality to protect against the compelled disclosure of personally identifiable information and to support and defend the authority of the Certificate against legal challenges.

The institution and personnel involved in the conduct of the research will comply with the applicable Federal regulation for the protection of human subjects or, if no such Federal regulation is otherwise applicable, they will comply with 45 CFR Part 46.

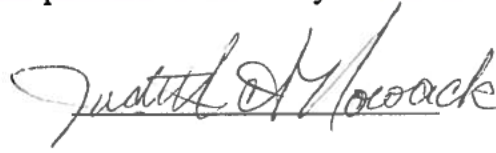
This Certificate of Confidentiality will not be represented as an endorsement of the project by the DHHS or NIH or used to coerce individuals to participate in the research project.

All subjects will be informed that a Certificate has been issued, and they will be given a description of the protection provided by the Certificate.

Any research participant entering the project after expiration or termination of the Certificate will be informed that the protection afforded by the Certificate does not apply to them.



Signature of Principal Investigator

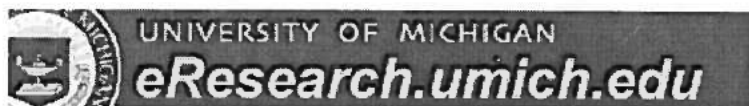


Signature of Institutional Official

ASSOC VP Research
UNIV OF MICHIGAN

To: <daneis@umich.edu>

Subject: IRB approval has been granted for one of your new applications



Health Sciences Institutional Review Board (IRB) • 540 East Liberty Street, Suite 202, Ann Arbor, MI 48104-2210 • phone (734) 936-0933 • fax (734) 998-9171 • irbhsbs@umich.edu

Date: 9/30/2005

To: Dr. Daniel Eisenberg

Cc: DRDA, IRB Health Sciences

Subject: Initial Study Approval

The Health Sciences Institutional Review Board (IRB) has reviewed and approved the research proposal referenced below. The IRB determined that the research is compliant with applicable guidelines, state and federal regulations, and the University of Michigan's Federalwide Assurance with the Department of Health and Human Services (HHS).

Any proposed changes/amendments in the research (e.g., personnel, procedures, or documents), no matter how minor, must be approved in advance by the IRB unless necessary to eliminate apparent immediate hazards to research subjects.

The approval period for this project is listed below. Please note your expiration date. If the project is scheduled to continue beyond this date, submit a Scheduled Continuing Review application **at least two months prior** to the expiration date to allow the IRB sufficient time to review and approve the project. **If the approval lapses, no work may be conducted on this project until appropriate approval has been obtained, except as necessary to eliminate apparent immediate hazards to research subjects.**

The IRB must be informed of all unanticipated or adverse events (i.e., physical, social, or emotional) or any new information that may affect the risk/benefit assessment of this research.

The online forms for amendments, adverse event reporting, and scheduled continuing review can be obtained by accessing the eResearch workspace for this approved study at <https://eresearch.umich.edu>.

It is expected that only the current IRB-approved version of the informed consent document(s) will be used in conjunction with this research. To obtain and download a copy of the current IRB-approved informed consent document(s), PIs and Study Staff should access the eResearch workspace for this approved study and view the "Documents" tab.

Submission Information:

Title: UM Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students

Study eResearch ID: HUM00000297

Initial IRB Approval Date: **9/30/2005**

Current IRB Approval Period: **9/30/2005 - 9/29/2006**

Expiration Date: **9/29/2006**

eResearch workspace: [UM Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students](#)

UM Federalwide Assurance: FWA00004969 Expiration 6/12/06

Sincerely,

Handwritten signatures of Charles J. Kowalski and Alfred Franzblau. The signature of Charles J. Kowalski is on the left, and the signature of Alfred Franzblau is on the right.

Charles Kowalski
Co-chair, IRB Health Sciences

Alfred Franzblau
Co-chair, IRB Health Sciences



National Institutes of Health
National Institute of Mental Health
6001 Executive Boulevard
Bethesda, Maryland 20892

January 8, 2006

Daniel Eisenberg, Ph. D.
University of Michigan
School of Public Health
109 S. Observatory, SPH II
Ann Arbor, MI 48109-2029

Dear Dr. Eisenberg:

Enclosed is the Confidentiality Certificate protecting the identity of research subjects in your project entitled, "The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students." Please note that the Certificate expires on December 31, 2007.

Please be sure that the consent form given to research participants accurately states the intended uses of personally identifiable information and the confidentiality protections, including the protection provided by the Certificate of Confidentiality with its limits and exceptions.

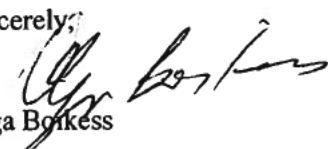
If you determine that the research project will not be completed by the expiration date, December 31, 2007, you must submit a written request for an extension of the Certificate three (3) months prior to the expiration date. If you make any changes to the protocol for this study, you should contact me regarding modification of this Certificate. Any requests for modifications of this Certificate must include the reason for the request, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Please advise me of any situation in which the certificate is employed to resist disclosure of information in legal proceedings. Should attorneys for the project wish to discuss the use of the certificate, they may contact the Office of the NIH Legal Advisor, National Institutes of Health, at (301) 496-6043.

Correspondence should be sent to:

Ms. Olga Boikess
Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 8102 (MSC 9653)
Bethesda, Maryland 20892-9653
Telephone: (301) 443-3877
Fax: (301) 443-2578

Sincerely,


Olga Boikess

Enclosure

CONFIDENTIALITY CERTIFICATE

MH-06-003

issued to

University of Michigan

conducting research known as

**“The Michigan Healthy Minds Study: Needs for, Barriers to,
and Utilization of Mental Health Services by UM Students”**

In accordance with the provisions of section 301(d) of the Public Health Service Act 42 U.S.C. 241(d), this Certificate is issued in response to the request of the Principal Investigator, Daniel Eisenberg, Ph. D. to protect the privacy of research subjects by withholding their identities from all persons not connected with this research. Dr. Eisenberg is primarily responsible for the conduct of this research.

Under the authority vested in the Secretary of Health and Human Services by section 301(d), all persons who:

1. are enrolled in, employed by, or associated with the University of Michigan and its contractors or cooperating agencies, and
2. have in the course of their employment or association access to information that would identify individuals who are the subjects of the research pertaining to the project known as “The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students”

are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research

This purpose of this study is to understand the extent to which university students with mental health problems are receiving needed services and to understand key determinants of whether students receive needed services.

A Certificate of Confidentiality is needed because sensitive genetic information and sensitive information about mental health, substance use, illegal activity and psychological well being will be collected during the course of the study. The certificate will help researchers avoid involuntary disclosure that could expose subjects or their families to adverse economic, legal, psychological and social consequences.

Page 2 - Confidentiality Certificate

All subjects will be assigned a coded number and identifying information and records will be kept in locked files.

This research is underway, and is now expected to end on December 31, 2007.

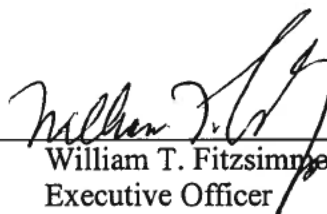
As provided in section 301 (d) of the Public Health Service Act 42 U.S.C. 241(d):

"Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals."

This Certificate does not protect you from being compelled to make disclosures that: (1) have been consented to in writing by the research subject or the subject's legally authorized representative; (2) are required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.) or regulations issued under that Act; or (3) have been requested from a research project funded by NIH or DHHS by authorized representatives of those agencies for the purpose of audit or program review

This Certificate does not represent an endorsement of the research project by the Department of Health and Human Services. This Certificate is now in effect and will expire on December 31, 2007. The protection afforded by this Confidentiality Certificate is permanent with respect to any individual who participates as a research subject (i.e., about whom the investigator maintains identifying information) during any time the Certificate is in effect.

Date: January 8, 2006



William T. Fitzsimmons
Executive Officer



THE UNIVERSITY OF MICHIGAN
DEPARTMENT OF HEALTH MANAGEMENT AND POLICY
SCHOOL OF PUBLIC HEALTH

109 OBSERVATORY
ANN ARBOR, MICHIGAN 48109-2029
FAX: 734 764-4338

AUG 30 2007

8/29/07

Dear Dr. Boikess,

The following is our application for an amendment to our NIH Certificate of Confidentiality for our research project, "The Healthy Minds Study." Please note that this study is a simply an extension to multiple sites of "The Michigan Healthy Minds Study," for which we received a Certificate of Confidentiality dated January 8th, 2006. That is, the present study is a multi-site (12 sites) version of the previous study. All sites are universities, and are listed in this application.

If there is any way we could receive this amended Certificate by September 15, 2007, we would be very grateful, as our study is scheduled to launch September 20, 2007.

Please contact me at 734.615.7764 or at daneis@umich.edu if you have any questions or concerns.

Thank you kindly,

A handwritten signature in cursive script that reads "Daniel Eisenberg".

Daniel Eisenberg, PhD
Assistant Professor of Health Management and Policy
School of Public Health, University of Michigan
daneis@umich.edu, 734-615-7764
www-personal.umich.edu/~daneis
PI, www.healthymindsstudy.net

1. Name and address of the applicant research institution

Department of Health Management and Policy, School of Public Health, University of Michigan. 109 S. Observatory, SPH II. Ann Arbor, MI 48109-2029.

2. Sites where the research will be conducted and a brief description of the facilities available for the conduct of the research. Please indicate if this is a multi-site project.

This is a multi-site project. The data will be collected from students at 12 universities via web survey. The data will be collected by the Survey Sciences Group, LLC (SSG). SSG maintains a secure data environment by using dedicated, password-protected servers. SSG also maintains a company policy that includes respondent confidentiality. Violation of respondent confidentiality may result in termination under the policy. At the conclusion of data collection, SSG will deliver a de-identified dataset to the PI. The 12 sites are: University of Michigan, California State-Chico, Emory University, Illinois-Chicago, Illinois-Springfield, Illinois-Champaign Urbana, New Mexico State, North Carolina-Chapel Hill, North Carolina-Greensboro, Penn State, Tufts and Yeshiva.

The project directors at each site are listed below.

Chico State

Mimi Bommersbach

Licensed Psychologist, Interim Director

MLIB 141

CNTS - Psychological Counseling and Wellness Center

Chico, CA 95929

530-898-6345

mbommersbach@csuchico.edu

Emory

Mark McLeod

Director, Counseling Center; Adjunct Psychology Professor

Drawer TT

Atlanta, GA 30322

404-727-7450

rmcleod@emory.edu

University of Illinois, Springfield

Judy Shipp

Director, Counseling Center and Student Support Services

One University Plaza, HRB 64

University of Illinois at Springfield

Springfield, IL 62703-5407

217-206-7122

jshipl@uis.edu

University of Illinois, Chicago
Patricia Inman
Assistant to the Vice Chancellor
Office of the Vice Chancellor for Student Affairs (MC 600)
Suite 3010 Student Services Building
Chicago, IL 60607-7165
312-996-7744
pinman@uic.edu

University of Illinois, Urbana
Renee Romano
Vice Chancellor for Student Affairs
120 Swanlund
601 E. John Street
Champaign, IL 61820
217-333-1300
romano@sab.uiuc.edu

New Mexico State
John Irvine
Director, Counseling
NSC 3575
Las Cruces, NM 88015
505-646-2731
jirvine@nmsu.edu

University of North Carolina, Greensboro
Jeanne Irwin-Olsen
UNCG Student Health Services
007 Grove Building-107 Gray Drive
Greensboro, NC
336-334-3079
jirwino@uncg.edu

University of North Carolina, Chapel Hill
Dan Darnell
Clinical Psychologist
Counseling and Wellness Services (CWS) CB# 7470 Campus Health Services Bldg.
Chapel Hill, NC 27599-7471
(919) 966-3658
Dan_Darnell@unc.edu

Penn State
Ben Locke
Assistant Director, Research and Technology
0221 Ritenour Building

University Park, PA 16802
814-863-0395
BDL10@sa.psu.edu

Tufts
Marilyn Downs
Supervising Clinician/Prevention Director Counseling and Mental Health Service
120 Curtis Street
Medford, MA 02155
617/627-3360
Marilyn.Downs@tufts.edu

Yeshiva
Chaim Nissel
Director, Counseling Center
500 West 185 Street
New York, NY 10033
646-685-0115
drnissel@yu.edu

3. Title of the research project.

The Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by University Students.

4. Source and number of the supporting grant, if applicable.

(b) (4)

5. IRB Approval.

Documentation of approval from the University of Michigan IRB-Health Sciences is appended to this application. The University of Michigan Federalwide Assurance number is: FWA00004969, Expiration 5/10/09.

We also have IRB approvals from the following sites on file and are prepared to show them to NIH upon request:

Illinois-Chicago
Illinois-Springfield
Yeshiva

We expect to obtain IRB approvals from the other sites very soon, and will notify NIH as we receive them.

6. Name, title, mailing, and email address of the applicant and key personnel.

Daniel Eisenberg, PhD is the Principal Investigator for this project.

Daniel Eisenberg, PhD
Department of Health Management and Policy
School of Public Health, University of Michigan.
109 S. Observatory, SPH II.
Ann Arbor, MI 48109-2029.
daneis@umich.edu
Phone: 734.615.7764
Fax: 734.764.4338

Dr. Eisenberg is an Assistant Professor in the Department of Health Management and Policy in the School of Public Health. He received his PhD in Economics from Stanford University in 2002, and completed a NIMH-funded postdoc at UC-Berkeley from 2002 to 2004. Since arriving at Michigan in 2004, he has participated in and developed a number of research projects related to mental health. He is Principal Investigator of the Healthy Minds Study and has published several papers from this study.

Other key personnel at the lead site (University of Michigan) include Kamilah Neighbors, MHSA, and Emily Nicklett, MSW. Both are doctoral students at the University of Michigan School of Public Health, in the Health Services, Organization, and Policy program.

7. Beginning and end date of the project.

10/1/07 – 10/1/09

8. Project aims and research methods.

Mental health needs have increased steadily in recent years at college campuses nationwide. Our aims are to understand the extent to which university students with mental health problems are receiving needed services and to understand key determinants of whether students receive needed services. In order to accomplish these aims, we will conduct a web-based survey sent to 1,000 students at each of 13 universities in October 2007. We expect about 6,500 respondents, assuming a 50% response rate. In early November 2007 we will then conduct a follow-up of a randomly selected group of 500 non-respondents (from the full national sample of non-respondents). The follow-up will consist of a much shorter phone interview (15 questions) and will allow us to determine whether our initial sample was representative of the full student populations. We expect about 250 respondents (out of an initial random sample of 500) from this non-respondent follow-up, for a total of about 6,750 subjects in the study.

In the survey we will gather data on a variety of domains important to mental health: mental health status, access and barriers to services, utilization of services, social context,

academic environment, academic performance, and healthiness of lifestyles (e.g. substance use and gambling). This set of domains represents key factors affecting students' mental health.

9. Protection of subjects' identities.

Identifying information (email addresses) will be used to recruit subjects but will be stored separately from survey response data. Respondent confidentiality will be protected through the data management and security procedures including keeping the linking file in a locked office, limiting access to this file to the PI, protecting electronic files with encryption and password protection, limiting who has access to the network where the file will be kept, and use of a dedicated server during the data collection efforts. Additionally, the survey firm will restrict access to survey respondents to only those whose job requires the knowledge. SSG also maintains a company policy that includes respondent confidentiality. Violation of respondent confidentiality may result in termination under the policy. Use of study generated IDs in all respondent communications that have no significance outside of this study will also protect respondent confidentiality. And ultimately, in the final analytic data file, no identifiable information will be included. When responses are reported, they will be so in aggregate and will not uniquely identify a respondent.

10. Reason for requesting a Certificate of Confidentiality.

We will be collecting sensitive information related to mental health status, mental health services use, and substance use. We will also have identifying information for our entire sample, although this information will be kept in a separate file that is matched by unique identifiers. We are requesting a certificate of confidentiality so that we may give our subjects every possible assurance that their survey data will not be able to be identified.

11. Informed consent.

The informed consent form is attached.

12. Drugs to be administered in extramural research.

No drugs of any kind will be delivered in this research.

13. This research does not involve the administration of any types of drugs.

14. This research project is not testing for reportable communicable diseases.

Assurances

The following assurances are required and the following information should be inserted verbatim into the Certificate application letter. Both the PI and the Institutional Official must sign this letter:

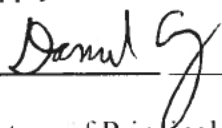
This institution agrees to use the Certificate of Confidentiality to protect against the compelled disclosure of personally identifiable information and to support and defend the authority of the Certificate against legal challenges.

The institution and personnel involved in the conduct of the research will comply with the applicable Federal regulation for the protection of human subjects or, if no such Federal regulation is otherwise applicable, they will comply with 45 CFR Part 46.

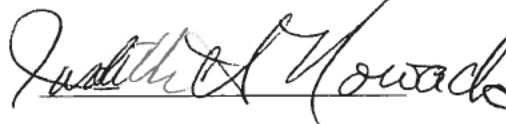
This Certificate of Confidentiality will not be represented as an endorsement of the project by the DHHS or NIH or used to coerce individuals to participate in the research project.

All subjects will be informed that a Certificate has been issued, and they will be given a description of the protection provided by the Certificate.

Any research participant entering the project after expiration or termination of the Certificate will be informed that the protection afforded by the Certificate does not apply to them.



Signature of Principal Investigator



Signature of Institutional Official

Judith A. Nowack
Associate Vice President for Research

Name and Title of Institutional Official

Healthy Minds Study Consent Form

- Who is doing this study?

This study is a partnership between Daniel Eisenberg, PhD, Assistant Professor at the University of Michigan School of Public Health and [insert local contact].

- Why are you doing this research?

We are trying to better understand issues related to undergraduate and graduate students' well-being, sources of support, and mental and emotional health. This study is important to furthering knowledge about how students are handling the stresses of university life and how well their mental and emotional health needs are being met. We will provide the results (without any individually identifiable information) to school administrators and other community members to help them think about how to improve student life.

- What will taking this survey be like?

The survey takes 10-20 minutes for most students to complete, though it may take less or more time for some students. You must be 18 or older to take this survey. You will be asked questions about your moods and emotions, mental health and emotional issues you have experienced, support you may or may not have received, and your academic life. While in the survey, you will be able to stop at any time by closing your browser. You may then return to the questionnaire later. All responses you had entered and submitted will be saved. We ask that you complete the survey within 14 days.

- What are the risks associated with my participation?

Some of the questions will ask you about sensitive or personal information such as your emotional health. These questions might make you feel uncomfortable or anxious. You can skip any questions you do not want to answer. At the conclusion of the survey you will receive a list of resources on campus that can provide you with help and support. If responding to any questions makes you feel worried or unhappy, we urge you to call any of the resources listed. Your participation is voluntary -- your refusal to participate will involve no penalty of any sort. You may discontinue participation at any time.

- Who will benefit from my participation in this research?

We expect this research to be used to improve student life, so students at your school and nationwide may ultimately benefit from the knowledge obtained in this study.

Additionally, you will be entered into a sweepstakes to be conducted on December 15, 2007 for cash prizes totaling \$4,000 (ten \$250 prizes and three \$500 prizes) regardless of whether you complete the survey. The drawing will be conducted by the Survey Sciences Group, LLC on 220 E. Huron St. in Ann Arbor, MI. The chance of winning a

prize is approximately 1 in 300. Winners will be notified immediately by email, and prizes will be mailed as checks.

- How will my privacy and confidentiality be protected?

Your confidentiality will be maintained to the degree permitted by the technology used. Specifically, no guarantees can be made regarding the interception of data sent via the Internet by any third parties. The survey was designed to protect your privacy and confidentiality. The Survey Sciences Group, LLC (SSG), has been hired to help ensure your confidentiality by maintaining all study records. They will use Secure Sockets Layer (SSL) encryption technology to ensure that your responses are not intercepted in transmission, and will provide physical and logical restrictions to protect your data once it has been collected. The researchers will never know your name, email address, or any other identifiable information. Any reports or articles that we write will describe the data in the aggregate and will contain no information that could allow somebody to identify you. Survey Sciences Group, LLC has conducted several studies of sensitive issues among college student populations, and they use the most sophisticated technology available to assure security and confidentiality. The security and confidentiality maintained by the Survey Sciences Group has never been breached.

To help us protect your privacy, we have obtained a Certificate of Confidentiality from the National Institutes of Health. With this Certificate, the researchers cannot be forced to disclose information that may identify you, even by a court subpoena, in any federal, state, or local civil, criminal, administrative, legislative, or other proceedings. The researchers will use the Certificate to resist any demands for information that would identify you, except as explained below. The Certificate cannot be used to resist a demand for information from personnel of the United States Government that is used for auditing or evaluation of federally funded projects or for information that must be disclosed in order to meet the requirements of the federal Food and Drug Administration (FDA). You should understand that a Certificate of Confidentiality does not prevent you or a member of your family from voluntarily releasing information about yourself or your involvement in this research. If an insurer, employer, or other person obtains your written consent to receive research information, then the researchers may not use the Certificate to withhold that information. The Certificate of Confidentiality does not prevent the researchers from disclosing voluntarily, without your consent, information that would identify you as a participant in the research project if you indicate that you are at imminent and serious risk to harm yourself or others.

The data from this study, without any identifiable information, will be retained in a secure repository for future research purposes. Records will be kept confidential to the extent provided by federal, state, and local law. However, the Institutional Review Board, the sponsor of the study, or university and government officials responsible for monitoring this study may inspect these records. Also, please be aware that even though researchers will not know your name, the data collection firm will have your name in order to send you follow up emails if necessary. If you participate in the present study, you may be contacted in future years for a follow-up study.

- What if I have questions about the survey?

If you have questions about this research, the survey questions, or this consent process, you can contact the researchers at info@healthymindsstudy.net or (734) 213-4600, ext 470. You may also contact the PI of this study, Dr. Daniel Eisenberg at (daneis@umich.edu) or 734-615-7764, or the local PI, [insert local contact info].

Should you have questions regarding your rights as a research participant or feel that you have been harmed by this research, please contact the Institutional Review Board at the University of Michigan, 540 E. Liberty Street, Suite 202, Ann Arbor, MI 48104-2210, (734) 936-0933, email: irbhsbs@umich.edu.

Please click the link at the bottom of the screen if you wish to print a copy of this consent form.

- I have read the information given above, I am at least 18 years old, and I CONSENT to participate in this study.
- I do not wish to participate in this study and understand that there is no penalty for not participating.



THE UNIVERSITY OF MICHIGAN

BEHAVIORAL SCIENCES INSTITUTIONAL REVIEW BOARD
HEALTH SCIENCES INSTITUTIONAL REVIEW BOARD
540 EAST LIBERTY STREET, SUITE 202
ANN ARBOR, MICHIGAN 48104-2210
PHONE 734 936-0933 FAX: 734 998-9171
E-MAIL: irbhsbs@umich.edu WEBSITE: www.irb.research.umich.edu

November 27, 2006

Dr. Daniel Eisenberg
Department of Health Management and Policy
School of Public Health
University of Michigan
M3517 SPH II
Ann Arbor, MI 48109-2029

Dear Dr. Eisenberg,

This letter is to confirm that the Health Sciences Institutional Review Board (IRB) has reviewed and approved the amendment to your research proposal, UM Healthy Minds Study (HUM00000297/Ame00001869), pending resolution of the issue described below. Because this is a multi-site study, the UM IRB grants approval to conduct research at each participating institution when local IRB approval has been granted. The IRB determined that the research is compliant with applicable guidelines, state and federal regulations, and the University of Michigan's Federalwide Assurance with the Department of Health and Human Services (HHS).

- Submit documentation of the extension of the protections of the current study's Certificate of Confidentiality to each performance site.

Any proposed changes/amendments in the research (e.g., personnel, procedures, or documents), no matter how minor, must be approved in advance by the University of Michigan IRB unless necessary to eliminate apparent immediate hazards to research subjects.

The approval period for this project is 11/27/06-11/26/07. *Please note your expiration date.* If the project is scheduled to continue beyond this date, submit a Scheduled Continuing Review application at least two months prior to the expiration date to allow the University of Michigan IRB sufficient time to review and approve the project. If the approval lapses, no work may be conducted on this project until appropriate approval has been obtained, except as necessary to eliminate apparent immediate hazards to research subjects.

The University of Michigan IRB must be informed of all unanticipated or adverse events (i.e., physical, social, or emotional) or any new information that may affect the risk/benefit assessment of this research.

Sincerely,

Charles J. Kowalski
Health Sciences IRB Co-Chair



National Institutes of Health
National Institute of Mental Health
6001 Executive Boulevard
Bethesda, Maryland 20892

September 25, 2007

Daniel Eisenberg, Ph. D.
University of Michigan
School of Public Health
109 S. Observatory, SPH II
Ann Arbor, MI 48109-2029

Dear Dr. Eisenberg:

Enclosed is the amended Confidentiality Certificate protecting the identity of research subjects in your project entitled, "The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students" also known as, "The Health Mind Study". Please note that the Certificate expires on March 31, 2010.

Please be sure that the consent form given to research participants accurately states the intended uses of personally identifiable information and the confidentiality protections, including the protection provided by the Certificate of Confidentiality with its limits and exceptions.

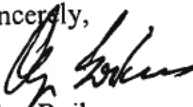
If you determine that the research project will not be completed by the expiration date, March 31, 2010, you must submit a written request for an extension of the Certificate three (3) months prior to the expiration date. If you make any changes to the protocol for this study, you should contact me regarding modification of this Certificate. Any requests for modifications of this Certificate must include the reason for the request, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Please advise me of any situation in which the certificate is employed to resist disclosure of information in legal proceedings. Should attorneys for the project wish to discuss the use of the certificate, they may contact the Office of the NIH Legal Advisor, National Institutes of Health, at (301) 496-6043.

Correspondence should be sent to:

Ms. Olga Boikess
Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 8102 (MSC 9653)
Bethesda, Maryland 20892-9653
Telephone: (301) 443-3877
Fax: (301) 443-2578

Sincerely,



Olga Boikess

Enclosure

CONFIDENTIALITY CERTIFICATE

MH-06-003A

issued to

University of Michigan

conducting research known as

**“The Michigan Healthy Minds Study: Needs for, Barriers to,
and Utilization of Mental Health Services by UM Students”**

also known as

“The Healthy Minds Study”

In accordance with the provisions of section 301(d) of the Public Health Service Act 42 U.S.C. 241(d), this amended Certificate is issued in response to the request of the Principal Investigator, Daniel Eisenberg, Ph. D. to protect the privacy of research subjects by withholding their identities from all persons not connected with this research. Dr. Eisenberg is primarily responsible for the conduct of this research.

Under the authority vested in the Secretary of Health and Human Services by section 301(d), all persons who:

1. are enrolled in, employed by, or associated with the University of Michigan and its contractors or cooperating agencies, and
2. have in the course of their employment or association access to information that would identify individuals who are the subjects of the research pertaining to the project known as “The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students” also known as “The Healthy Minds Study”,

are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research

This certificate amends and extends the protections of Certificate of Confidentiality MH-06-003, “The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students”. The study is now being conducted at multiple sites.

Page 2 - Confidentiality Certificate

This multi-site research study investigates mental health status, access and barriers to services, utilization of services, social context, academic environment, academic performance, and healthiness of lifestyles in a sample of university students.

A Certificate of Confidentiality is needed because sensitive information about mental health, substance use, illegal activity and psychological well being will be collected during the course of the study. The certificate will help researchers avoid involuntary disclosure that could expose subjects or their families to adverse economic, legal, psychological and social consequences.

All subjects will be assigned a coded number and identifying information and records will be kept in locked files.

This research is underway, and is now expected to end on March 31, 2010.

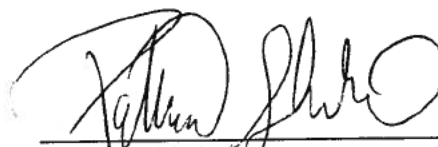
As provided in section 301 (d) of the Public Health Service Act 42 U.S.C. 241(d):

"Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals."

This Certificate does not protect you from being compelled to make disclosures that: (1) have been consented to in writing by the research subject or the subject's legally authorized representative; (2) are required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.) or regulations issued under that Act; or (3) have been requested from a research project funded by NIH or DHHS by authorized representatives of those agencies for the purpose of audit or program review

This Certificate does not represent an endorsement of the research project by the Department of Health and Human Services. This Certificate is now in effect and will expire on March 31, 2010. The protection afforded by this Confidentiality Certificate is permanent with respect to any individual who participates as a research subject (i.e., about whom the investigator maintains identifying information) during any time the Certificate is in effect.

Date: September 25, 2007



Patriek Shirdon
Acting Executive Officer

Olga Boikess
National Institute of Mental Health
6001 Executive Boulevard, Room 8222, MSC 9653
Bethesda, MD 20892-9653

Brent Loomis, Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 8222, MSC 9653
Bethesda, MD 20892-9653

February 12, 2010

Dear Ms. Boikess and Mr. Loomis:

I am writing to request an extension of the Certificate of Confidentiality that you granted on September 25, 2007 to our study, "The Healthy Minds Study." That certificate has an expiration date of March 31, 2010—I apologize for not sending this request at least three months prior to the expiration.

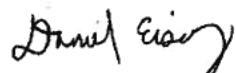
The reason for this request is that we are continuing our study as an annual data collection (using a very similar survey each year). We expect to continue this study for several years, and do not have a predetermined completion date. If you require a completion date for the purpose of the renewed certificate, then for now we could say July 1, 2012.

With this request we are enclosing our most IRB approval for the project, as well as the consent form (which remains nearly identical to the version in our application in 2007).

Please let us know if you need any other information.

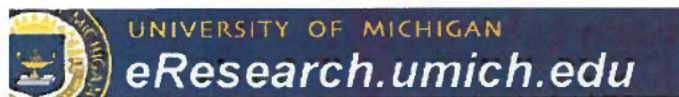
Thank you for your help.

Best,



Daniel Eisenberg, PhD
Assistant Professor of Health Management and Policy
School of Public Health, University of Michigan
daneis@umich.edu, 734-615-7764

Subject: eResearch Notification: Amendment Approved
From: <eresearch@umich.edu>
Date: Wed, 2 Dec 2009 11:12:29 -0500
To: <daneis@umich.edu>



Health Sciences and Behavioral Sciences Institutional Review Board • 540 East Liberty Street, Suite 202, Ann Arbor, MI 48104-2210 • phone (734) 936-0933 • fax (734) 998-9171 • irbhsbs@umich.edu

To: Daniel Eisenberg

From:
Richard Redman

| | |
|------------|-------------|
| Cc: | |
| Jennifer | Hefner |
| Justin | Hunt |
| Marianne | Hillemeier |
| Ezra | Golberstein |
| Alisha | Serras |
| Daniel | Eisenberg |
| Emily | Nicklett |
| Sarah | Gollust |
| Kamilah | Neighbors |
| Steven | Brunwasser |
| Kara | Zivin |
| Leslie | Wimsatt |
| Daphne C | Watkins |
| Corey | Keyes |
| Scott | Crawford |
| James | Cranford |
| Kerri | Wakefield |

Subject: Amendment [Ame00015429] Approved for [HUM00000297]

SUBMISSION INFORMATION:

Study Title: Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services among Undergraduate and Graduate Students
Full Study Title (if applicable):
Study eResearch ID: HUM00000297
Amendment eResearch ID: Ame00015429
Amendment Title: HUM00000297_Amendment - Wed Nov 25 13:25:01 EST 2009
Date of this Notification from IRB: 12/2/2009
Date of Approval for this Amendment: 12/2/2009
Current IRB Approval Period: 11/19/2009 - 11/18/2010
Expiration Date: Approval for this expires at 11:59 p.m. on 11/18/2010
UM Federalwide Assurance (FWA): FWA00004969 expiring on 11/17/2011
OHRP IRB Registration Number(s): IRB00000245

Approved Risk Level(s) as of this Amendment:

| Name | Risk Level |
|-------------|---------------------------|
| HUM00000297 | No more than minimal risk |

NOTICE OF IRB APPROVAL AND CONDITIONS:

The IRB HSBS has reviewed and approved the amendment to the study referenced above. The IRB determined that the proposed research continues to conform with applicable guidelines, State and federal regulations, and the University of Michigan's Federalwide Assurance (FWA) with the Department of Health and Human Services (HHS). You must conduct this study in accordance with the description and information provided in the approved application and associated documents, as amended.

APPROVAL PERIOD AND EXPIRATION:

The approval period for this study is listed above. Please note the expiration date is not changed by the approval of this amendment. If the approval lapses, you may not conduct work on this study until appropriate approval has been re-established, except as necessary to eliminate

apparent immediate hazards to research subjects. Should the latter occur, you must notify the IRB Office as soon as possible.

IMPORTANT REMINDERS AND ADDITIONAL INFORMATION FOR INVESTIGATORS

APPROVED STUDY DOCUMENTS:

You must use any date-stamped versions of recruitment materials and informed consent documents available in the eResearch workspace (referenced above). Date-stamped materials are available in the "Currently Approved Documents" section on the "Documents" tab.

RENEWAL/TERMINATION:

At least two months prior to the expiration date, you should submit a continuing review application either to renew or terminate the study. Failure to allow sufficient time for IRB review may result in a lapse of approval that may also affect any funding associated with the study.

FUTURE AMENDMENTS:

All proposed changes to the study (e.g., personnel, procedures, or documents), must be approved in advance by the IRB through the amendment process, except as necessary to eliminate apparent immediate hazards to research subjects. Should the latter occur, you must notify the IRB Office as soon as possible.

AEs/ORIOs:

You must inform the IRB of all unanticipated events, adverse events (AEs), and other reportable information and occurrences (ORIOs). These include but are not limited to events and/or information that may have physical, psychological, social, legal, or economic impact on the research subjects or others.

Investigators and research staff are responsible for reporting information concerning the approved research to the IRB in a timely fashion, understanding and adhering to the reporting guidance (http://www.med.umich.edu/irbmed/ae_orio/index.htm), and not implementing any changes to the research without IRB approval of the change via an amendment submission. When changes are necessary to eliminate apparent immediate hazards to the subject, implement the change and report via an ORIO and/or amendment submission within 7 days after the action is taken. This includes all information with the potential to impact the risk or benefit assessments of the research.

SUBMITTING VIA eRESEARCH:

You can access the online forms for continuing review, amendments, and AEs/ORIOs in the eResearch workspace for this approved study, referenced above.

MORE INFORMATION:

You can find additional information about UM's Human Research Protection Program (HRPP) in the Operations Manual and other documents available at: www.research.umich.edu/hrpp.



Richard Redman
Chair, IRB HSBS



National Institutes of Health
National Institute of Mental Health
6001 Executive Boulevard
Bethesda, Maryland 20892

February 24, 2010

Daniel Eisenberg, Ph.D.
Assistant Professor
1415 Washington Heights
M3517 SPH II
Ann Arbor, Michigan 48109-2029

Dear Dr. Eisenberg:

RE: Confidentiality Certificate MH-06-003A, "The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students" also known as, "The Health Mind Study".

This letter amends the Confidentiality Certificate protecting the identity of research subjects in your project entitled "The Michigan Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services by UM Students" also known as, "The Health Mind Study", to extend the expiration date until June 30, 2013. This will enable the investigators to complete the research.

Be sure to attach this amendment to your copy of the original certificate.

If you determine that the research project will not be completed by the new expiration date June 30, 2013, you must submit a written request for an extension of the Certificate 3 months prior to the expiration date. Any such request must include the justification for the extension, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Correspondence should be sent to:

Ms. Olga Boikess
Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 8102 (MSC 9653)
Bethesda, MD 20892-9653
Telephone: 301-443-3877

Sincerely,

Patrick Shirdon
Associate Director for Management, NIMH

Date: February 24, 2010

MAR 05 2013

Green, Yolanda (NIH/NIMH) [E]

From: Boikess, Olga (NIH/NIMH) [E]
Sent: Monday, March 04, 2013 8:14 AM
To: Green, Yolanda (NIH/NIMH) [E]
Subject: FW: Renewing Healthy Minds Study CoC
Attachments: Extension of CoC (2010).pdf; Certificate of Confidentiality (2006).pdf; FINAL.IRB.APPROVAL2013.pdf; main survey consent -- AME33302 OCTOBER 2012 for 2013 STUDY.CLEAN.doc

Follow Up Flag: Follow up
Flag Status: Flagged

Please enter on the log, print out copies and make a file for this. You can also prepare a renewal letter.

Please visit me this AM to go over a few of the COC documents you worked on last week. Or let me know a good time and I will visit you. thanks Olga

From: Sarah Ketchen Lipson [<mailto:sklipson@umich.edu>]
Sent: Sunday, March 03, 2013 8:56 AM
To: Boikess, Olga (NIH/NIMH) [E]
Cc: Daniel Eisenberg
Subject: Renewing Healthy Minds Study CoC

Good morning, Olga,

I am writing to request an extension of the Certificate of Confidentiality, issued to the Healthy Minds Study by NIMH. I have attached the original approval letter and the extension issued on February 24, 2010. Our current CoC expires on June 30, 2013.

We are continuing to conduct the study on college campuses and would like to extend our coverage for another 3 years. Per the instructions online, I have also attached documentation of the University of Michigan Institutional Review Board's most recent approval for the Healthy Minds Study and a copy of the study's current consent form.

The address for correspondence is:
Daniel Eisenberg
M3517, SPH II
Department of Health Management & Policy
School of Public Health, University of Michigan
1415 Washington Heights
Ann Arbor, MI 48109-2029

Please let me know if you require any additional information. Thank you in advance for your help.

My best,
Sarah

--
Sarah Ketchen Lipson

University of Michigan, PhD student

School of Public Health, *Department of Health Management & Policy*
School of Education, *Center for the Study of Higher & Postsecondary Education*

Principal Investigator, U-SHAPE
University Study of Habits, Attitudes, and Perceptions around Eating
www.umich.edu/~ushape

www-personal.umich.edu/~sklipson
sklipson@umich.edu



UNIVERSITY OF MICHIGAN

eResearch.umich.edu

Health Sciences and Behavioral Sciences Institutional Review Board • 540 East Liberty Street, Suite 202, Ann Arbor, MI 48104-2210 • phone (734) 936-0933 • fax (734) 998-9171 • irbhsbs@umich.edu

To: Daniel Eisenberg**From:**

Richard Redman

Cc:

| | |
|--------|-----------|
| Toben | Nelson |
| Daniel | Eisenberg |
| Joseph | Himle |
| Sarah | Lipson |
| Jamie | Pease |
| Justin | Hunt |
| Scott | Crawford |
| Nikhil | Dhawan |
| Todd | Favorite |

Subject: Amendment [Ame00033302] Approved for [HUM00000297]**SUBMISSION INFORMATION:**

Study Title: Healthy Minds Study: Needs for, Barriers to, and Utilization of Mental Health Services among Undergraduate and Graduate Students

Full Study Title (if applicable):

Study eResearch ID: [HUM00000297](#)

Amendment eResearch ID: [Ame00033302](#)

Amendment Title: HUM00000297_Amendment - Wed Sep 26 13:37:03 EDT 2012

Date of this Notification from IRB: 1/24/2013

Date of Approval for this Amendment: 11/15/2012

Review: Full Committee

Current IRB Approval Period: 11/7/2012 - 11/6/2013

Expiration Date: Approval for this expires at **11:59 p.m. on 11/6/2013**

UM Federalwide Assurance (FWA): FWA00004969 expiring on 6/13/2014

OHRP IRB Registration Number(s): IRB00000245

Approved Risk Level(s) as of this Amendment:

| Name | Risk Level |
|--------------------|----------------------------------|
| HUM00000297 | No more than minimal risk |

NOTICE OF IRB APPROVAL AND CONDITIONS:

The IRB HSBS has reviewed and approved the amendment to the study referenced above. The IRB determined that the proposed research continues to conform with applicable guidelines, State and federal regulations, and the University of Michigan's Federalwide Assurance (FWA) with the Department of Health and Human Services (HHS). You must conduct this study in accordance with the description and information provided in the approved application and associated documents, as amended.

APPROVAL PERIOD AND EXPIRATION: The approval period for this study is listed above. Please note the expiration date is not changed by the approval of this amendment. If the approval lapses, you may not conduct work on this study until appropriate approval has been re-established, except as necessary to eliminate apparent immediate hazards to research subjects. Should the latter occur, you must notify the IRB Office as soon as possible.

IMPORTANT REMINDERS AND ADDITIONAL INFORMATION FOR INVESTIGATORS**APPROVED STUDY DOCUMENTS:**

You must use any date-stamped versions of recruitment materials and informed consent documents available in the eResearch workspace (referenced above). Date-stamped materials are available in the "Currently Approved Documents" section on the "Documents" tab.

RENEWAL/TERMINATION:

At least two months prior to the expiration date, you should submit a continuing review application either to renew or terminate the study. Failure to allow sufficient time for IRB review may result in a lapse of approval that may also affect any funding associated with the study.

FUTURE AMENDMENTS:

All proposed changes to the study (e.g., personnel, procedures, or documents), must be approved in advance by the IRB through the amendment process, except as necessary to eliminate apparent immediate hazards to research subjects. Should the latter occur, you must notify the IRB Office as soon as possible.

AEs/ORIOs:

You must inform the IRB of all unanticipated events, adverse events (AEs), and other reportable information and occurrences (ORIOs). These include but are not limited to events and/or information that may have physical, psychological, social, legal, or economic impact on the research subjects or others.

Investigators and research staff are responsible for reporting information concerning the approved research to the IRB in a timely fashion, understanding and adhering to the reporting guidance (http://www.med.umich.edu/irbmed/ae_orio/index.htm), and not implementing any changes to the research without IRB approval of the change via an amendment submission. When changes are necessary to eliminate apparent immediate hazards to the subject, implement the change and report via an ORIO and/or amendment submission within 7 days after the action is taken. This includes all information with the potential to impact the risk or benefit assessments of the research.

SUBMITTING VIA eRESEARCH:

You can access the online forms for continuing review, amendments, and AEs/ORIOs in the

eResearch workspace for this approved study, referenced above.

MORE INFORMATION:

You can find additional information about UM's Human Research Protection Program (HRPP) in the Operations Manual and other documents available at: www.research.umich.edu/hrpp.

A handwritten signature in black ink that reads "Richard W. Redman". The signature is written in a cursive style with a large initial 'R'.

Richard Redman
Chair, IRB HSBS



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

National Institutes of Health
National Institute of Mental Health
6001 Executive Boulevard
Bethesda, Maryland 20892

March 5, 2013

Daniel Eisenberg, Ph.D.
M3517, SPH II
Department of Health Management & Policy
School of Public Health, University of Michigan
1415 Washington Heights
Ann Arbor, MI 48109-2029

Dear Dr. Eisenberg:

RE: Confidentiality Certificate MH-06-003, "Healthy Mind Study: Needs for, Barriers to, and Utilization of Mental Health Services among Undergraduate and Graduate Students".

This letter amends the Confidentiality Certificate protecting the identity of research subjects in your project entitled "Healthy Mind Study: Needs for, Barriers to, and Utilization of Mental Health Services among Undergraduate and Graduate Students", to extend the expiration date until December 31, 2017. This will enable the investigators to complete the research.

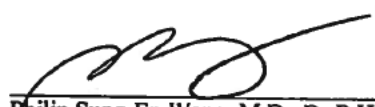
Be sure to attach this amendment to your copy of the original certificate.

If you determine that the research project will not be completed by the new expiration date December 31, 2017, you must submit a written request for an extension of the Certificate three months prior to the expiration date. Any such request must include the justification for the extension, documentation of the most recent IRB approval, and the expected date for completion of the research project.

Correspondence should be sent to:

Ms. Olga Boikess
Office of Resource Management
National Institute of Mental Health
6001 Executive Boulevard, Room 7101 (MSC 9653)
Bethesda, MD 20892-9653

Sincerely,


Philip Sung-En Wang, M.D., Dr.P.H.
Deputy Director
National Institute of Mental Health