



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

National Institutes of Health  
Freedom of Information Office  
Building 31, Room 5B-35  
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Bethesda, Maryland 20892-2107  
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Via email to: [hin@alma.com](mailto:hin@alma.com)

November 15, 2016

John K. Hinsdale  
38 Quaker Road  
Princeton Junction, NJ 08550

Re: NIH FOI Case No. 45289

Dear Mr. Hinsdale:

This is a partial response to your July 11, 2016, Freedom of Information Act (FOIA) request addressed to the Freedom of Information (FOIA) Office, National Institutes of Health (NIH), which was received in our office on July 14, 2016. You requested records related to Certificates Confidentiality for CY 2012 – 2016. Specifically, you requested copies of:

1. All applications for a COC and all COC for human subject mental health research issued to the Massachusetts Institute of Technology (MIT) or the University of Michigan (U. Mich) where the project name is similar to “Healthy Minds Study” or “Healthy Minds Network;” and
2. All applications for a COC from and all COC for human subject mental health research issued to MIT or the University of Michigan where the research project is any mental health survey and the Principal Investigator or the Institutional Official is:
  - a. L. Rafael Reif
  - b. Cynthia Barnhard
  - c. Martin Schmidt
  - d. Maria Zuber
  - e. Jagruti Patel or
  - f. Daniel Eisenberg

Upon receipt of your request we searched the files of the National Institute of Mental Health (NIMH) and the Office of Extramural Research (OER) for records responsive to your request. Thus far, our search has produced the enclosed 34 pages of responsive records from which pending support/source of private support has been redacted. The information redacted from the enclosed records is protected from release pursuant to Exemption 4 of the FOIA, 5 U.S.C. §§552(b)(4) and section 5.65 of the HHS FOIA Regulations, 45 C.F.R. Part 5. Exemption 4 protects from disclosure trade secrets and commercial or financial information that is privileged and confidential.

Please be advised that the enclosed records pertain to all of the criteria listed in your request, however, pages 29-34 are the only records that actually occurred during the specified time period of 2012-2016. Pages 1-28 document the original application and earlier extensions. Please note that this partial response does not constitute a final agency action on your request. Therefore, the right to appeal this disclosure decision will be extended concurrent with our final response.

We are continuing to process your request and will make additional releases as the documents become available. We will do everything possible to complete your request in a timely manner. Please feel free to contact me at [nihfoia@mail.nih.gov](mailto:nihfoia@mail.nih.gov) or on (301) 496-5633 for additional information or to inquire about the status of your request. If you are not satisfied with the processing and handling of this request you may contact the NIH FOIA Public Liaison:

NIH FOIA Public Liaison  
Marin Allen, Ph.D.  
Deputy Associate Director  
Office of Communications and  
Public Liaison  
Building 1, Room 344  
1 Center Drive  
Bethesda, MD 20892  
301-496-4461 (phone)  
301-496-0017 (fax)  
[nihfoia@mail.nih.gov](mailto:nihfoia@mail.nih.gov) (email)

Provisions of the FOIA allow us to recover part of the cost of complying with your request. We shall charge you for records in accordance with the Department of Health and Human Services (HHS) FOIA Regulations as they apply to commercial-use requesters; you will be charged for duplication at 10-cents per page; and for search and review time at the hourly rate (\$23.00, \$46.00 and \$83.00) of the searcher and reviewer. Please be advised that the HHS FOIA Regulations allow us to charge for search time even if we do not locate any responsive records or if we determine that some or all of the responsive records are exempt under one of the FOIA's nine exemptions. We have noted your \$100.00 fee limit and will contact you if anticipated fees will exceed that amount. However, at this time, we do not anticipate that there will be any applicable fees.

Sincerely,



Lauren Bartok  
Government Information Specialist, NIH

Enclosure: 34 pages